

New hyperfinite subfactors with infinite depth

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Outline

1 Preliminaries

- Subfactors
- Motivation
- Commuting squares
- Planar algebras

2 New A_∞ -subfactors

- Main idea
- New commuting squares
- Intermediate commuting squares

Subfactors

II_1 factor: an infinite dimensional von Neumann algebra with a unique normal tracial state tr and trivial center. It is *hyperfinite* if it can be approximated by finite dimensional C^* -algebras.

Subfactor $N \subset M$: a unital inclusion of II_1 factors. It is *irreducible* if $\dim N' \cap M = 1$.

Standard representation $L^2(M, \text{tr})$: the GNS representation of M with respect to the trace tr . The orthogonal projection $e_N : L^2(M) \rightarrow L^2(N)$ is called the *Jones projection*.

Jones Index $[M : N]$: measures how “big” M is relative to N , can be shown it is equal to $\text{tr}(e_N)^{-1}$.

Basic construction: $N \subset M \subset \langle M, e_N \rangle \subset B(L^2(M))$. $M_1 := \langle M, e_N \rangle$ will be a II_1 factor precisely when $[M : N] < \infty$.

Subfactor invariants

Whenever $[M : N] < \infty$ we can iterate the basic construction and get the Jones tower $N \subset M \subset M_1 \subset M_2 \subset \cdots \subset \overline{\bigcup_{n \geq 1} M_n}^w = M_\infty$

Standard invariant: is the lattice of inclusions

$$\begin{array}{ccccccc} N' \cap N & \subset & N' \cap M & \subset & N' \cap M_1 & \subset & \cdots \subset N' \cap M_n & \subset & \cdots \\ & & \cup & & \cup & & \cup & & \\ & & M' \cap M & \subset & M' \cap M_1 & \subset & \cdots \subset M' \cap M_n & \subset & \cdots \end{array}$$

Principal and dual principal graphs: they are two graphs (Γ, Γ') that describe the top and bottom tower of inclusions in the standard invariant. We say $N \subset M$ has finite depth if Γ is finite.

When $\Gamma = \Gamma' = A_\infty = \circ \text{---} \bullet \text{---} \circ \text{---} \bullet \text{---} \circ \text{---} \cdots$ we say $N \subset M$ has *trivial standard invariant* (also referred as A_∞ -subfactor).

Open questions

- 1 What are all possible standard invariants for hyperfinite irreducible subfactors?
- 2 What are all hyperfinite subfactors $N \subset M$ with small index?
- 3 What is $\{[M : N], N \subset M \text{ hyperfinite}, N' \cap M = \mathbb{C}\}$? (Jones'83)

Open questions

- 1 What are all possible standard invariants for hyperfinite irreducible subfactors?
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Theorem (Jones rigidity [Jon83])

Consider a unital inclusion $N \subset M$ of II_1 -factors, then

$$[M : N] \in \left\{ 4 \cos^2 \left(\frac{\pi}{n} \right), n \geq 3 \right\} \cup [4, \infty].$$

Moreover, every index is attained by some hyperfinite subfactor.

Landscape (finite depth)

- $[M : N] \leq 4$: ADE classification
- $4 < [M : N] < 5.25$: classification of small index subfactors (work of many people)

Index	# of subfactors	Name
$\frac{1}{2}(5 + \sqrt{13})$	2	Haagerup
≈ 4.37720	2	Extended Haagerup
$\frac{1}{2}(5 + \sqrt{17})$	2	Asaeda-Haagerup
$3 + \sqrt{3}$	2	3311
$\frac{1}{2}(5 + \sqrt{21})$	2	2221
5	7	-
≈ 5.04892	2	$\mathfrak{su}(2)_5$ and $\mathfrak{su}(3)_4$
$3 + \sqrt{5}$	11	-

What about infinite depth?

- Irreducible hyperfinite subfactor at index ≈ 4.026418 .
- If $4 < [M : N] < 3 + \sqrt{5}$ then it has trivial standard invariant, i.e. it is an A_∞ -subfactor.
- Schou constructed many other irreducible hyperfinite subfactors coming from **commuting squares** with index different from previous ones.

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Conjecture (Bisch)

Every index of a hyperfinite finite depth irreducible subfactor is the index of a hyperfinite A_∞ subfactor.

Commuting squares

$$\begin{array}{ccc} A_{1,0} & \overset{K}{\subset} & A_{1,1} \\ \cup_G & & \cup_L \\ A_{0,0} & \overset{H}{\subset} & A_{0,1} \end{array}$$

Commuting squares

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- $GK = HL$ and $G^tH = KL^t$ (non-degenerate)

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Commuting squares

$$\left. \begin{array}{ccccccc} A_{1,0} & \overset{K}{\subset} & A_{1,1} & \subset & A_{1,2} & \subset & \cdots & \subset & A_{1,\infty} \\ \cup_G & & \cup_L & & \cup & & & & \cup \\ A_{0,0} & \overset{H}{\subset} & A_{0,1} & \subset & A_{0,2} & \subset & \cdots & \subset & A_{0,\infty} \end{array} \right\} \text{subfactor}$$

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- $E_{A_{1,0}} E_{A_{0,1}} = E_{A_{0,0}}$ (Commuting square)
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They satisfy:

- $[A_{1,\infty} : A_{0,\infty}] = \|G\|^2 = \|L\|^2$
- Always hyperfinite
- Irreducible if G (or L) satisfy Wenzl's criterion

Constructing commuting squares

Theorem (Ocneanu's bi-unitary condition [Sch90])

The following are equivalent:

- *There exists a commuting square*
$$\begin{array}{ccc} A_{1,0} & \overset{K}{\subset} & A_{1,1} \\ \cup_G & & \cup_L \\ A_{0,0} & \overset{H}{\subset} & A_{0,1} \end{array}.$$
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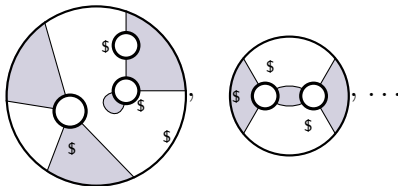
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- *There exists a bi-unitary connection on the square of inclusions.*

This reduces the problem of constructing a commuting square to solving a large system of non-linear equations.

Problem: These equations vary wildly whenever you change G, H, K, L .

Planar algebras

A *planar algebra* is a collection of vector spaces $\{P_{n,\pm}\}$ which admit actions by diagrams like this:



Two important examples by V. Jones:

- Given $N \subset M$ an irreducible finite index subfactor, the standard invariant has a planar algebra structure. We denote this planar algebra by $P_{\bullet}^{N \subset M}$.
- Given G a bipartite graph, the loop spaces on G have a planar algebra structure. We denote this planar algebra by $\text{GPA}(G)_{\bullet}$.

Module graphs and embeddings

Theorem (Grossman, Morrison, Penneys, Peters, Snyder '23)

Suppose P_\bullet is a finite depth subfactor planar algebra. Let \mathcal{C} denote the unitary multifusion category of projections in P_\bullet , with distinguished object $X = \text{id}_{1,+} \in P_{1,+}$, and the standard unitary pivotal structure with respect to X . There is an equivalence between:

- ① ***Planar algebra embeddings*** $P_\bullet \hookrightarrow \text{GPA}(G)_\bullet$, and
- ② ***indecomposable finitely semisimple pivotal left \mathcal{C} -module C^* categories \mathcal{M} whose fusion graph with respect to X is G (we call such G a *module graph*).***

Embedding theorem

Using Ocneanu's compactness, some facts about Pimsner-Popa basis and loop algebra formulas we prove the following:

Theorem (Bisch, C'22)

Let P_\bullet be the subfactor planar algebra associated to $A_{0,\infty} \subset A_{1,\infty}$ and $\text{GPA}(G)_\bullet$ the graph planar algebra associated to the inclusion graph of $A_{0,0} \subset A_{1,0}$. Then P_\bullet embeds into $\text{GPA}(G)_\bullet$.

$$\begin{array}{ccccccc} A_{1,0} & \overset{K}{\subset} & A_{1,1} & \subset & A_{1,2} & \subset & \cdots \subset A_{1,\infty} \\ \cup_G & & \cup_L & & \cup & & \cup \\ A_{0,0} & \overset{H}{\subset} & A_{0,1} & \subset & A_{0,2} & \subset & \cdots \subset A_{0,\infty} \end{array}$$

Main idea

Let $N \subset M$ be a finite depth hyperfinite subfactor and G a graph that is not a module graph for $N \subset M$, i.e. $P_{\bullet}^{N \subset M} \not\hookrightarrow GPA(G)_{\bullet}$.

If $A_{0,\infty} \subset A_{1,\infty}$ comes from a commuting square

$$\begin{array}{ccc} A_{1,0} & \overset{K}{\subset} & A_{1,1} \\ \cup_G & & \cup_L \\ A_{0,0} & \overset{H}{\subset} & A_{0,1} \end{array} \quad \text{we get}$$

$P_{\bullet}^{A_{0,\infty} \subset A_{1,\infty}} \hookrightarrow GPA(G)_{\bullet}$. Hence, $A_{0,\infty} \subset A_{1,\infty}$ is not isomorphic to $N \subset M$.

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We know a lot about the module graphs for:

- Peters: Haagerup subfactor (3 graphs)
- GMPPS: Extended Haagerup subfactor (4 graphs)
- We computed 14 *potential* module graphs for the Asaeda-Haagerup subfactor using combinatorial data obtained by Grossman, Izumi and Snyder.

Non-module graphs

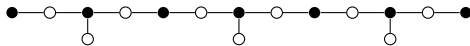
Large double broom

$$\|\cdot\|^2 = \frac{5+\sqrt{17}}{2}$$



Quipu

$$\|\cdot\|^2 \approx 4.37720$$



New A_∞ -subfactors

Theorem (Bisch, C'23)

If G is one of the previous graphs, there exist H , K and L for which we can construct a bi-unitary connection.

New A_∞ -subfactors

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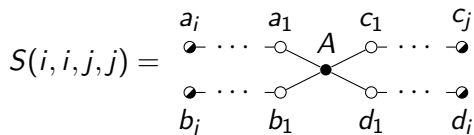
If G is one of the previous graphs, there exist H , K and L for which we can construct a bi-unitary connection.

We have constructed irreducible hyperfinite subfactors with indices $\frac{5+\sqrt{17}}{2}$ (the same as Asaeda-Haagerup) and ≈ 4.37720 (the same as Extended-Haagerup), by our graph planar algebra embedding and classification they must be A_∞ -subfactors.

Corollary (Bisch, C'23)

There are hyperfinite A_∞ -subfactors with indices $\frac{5+\sqrt{17}}{2}$ and ≈ 4.37720 .

More connections



Let $G = S(i, i, j, j)$, the 4-star with two pairs of legs of equal length.

Theorem (Bisch, C'23)

There exists a 1-parameter family of non-equivalent bi-unitary connections

for all i, j for inclusions of the form

$$\begin{array}{ccc} A_{1,0} & \overset{G^t}{\subset} & A_{1,1} \\ \cup_G & & \cup_{G^t} \\ A_{0,0} & \overset{G}{\subset} & A_{0,1} \end{array} .$$

Another approach to show infinite depth

- Kawahigashi proved that given a finite depth subfactor $N \subset M$, there are only countably many non-equivalent commuting squares from which the subfactor can be constructed.
- By classification of small index subfactors we have finitely many finite depth subfactors at the indices $\frac{5+\sqrt{17}}{2}$, $3 + \sqrt{3}$, $\frac{5+\sqrt{21}}{2}$, 5 and $3 + \sqrt{5}$.
- Our 1-parameter families of non-equivalent bi-unitary connections must produce at least one infinite depth subfactor.

Indices of $S(i, i, j, j)$

$j \backslash i$	1	2	3	4	...	∞
1	4					
2	$\frac{5+\sqrt{17}}{2}$	5				
3	$3 + \sqrt{3}$	5.1249	$3 + \sqrt{5}$			
4	$\frac{5+\sqrt{21}}{2}$	5.1642	5.2703	$\frac{7+\sqrt{13}}{2}$		
\vdots	\vdots	\vdots	\vdots	\vdots	\ddots	
∞	$2 + 2\sqrt{2}$	5.1844	5.2870	5.3184		$\frac{16}{3}$

Hence we have hyperfinite infinite depth subfactors at $\frac{5+\sqrt{17}}{2}$, $3 + \sqrt{3}$, $\frac{5+\sqrt{21}}{2}$, 5 and $3 + \sqrt{5}$. By classification, all but the last one must be A_∞ -subfactors.

Intermediate commuting squares

$$\begin{array}{ccccccc}
 A_{1,0} & \overset{K}{\subset} & A_{1,1} & \subset & A_{1,2} & \subset & \cdots \subset A_{1,\infty} = M \\
 \cup_G & & \cup_L & & \cup & & \cup \\
 A_{0,0} & \overset{H}{\subset} & A_{0,1} & \subset & A_{0,2} & \subset & \cdots \subset A_{0,\infty} = N
 \end{array}$$

Theorem (Bisch, C'24)

Let $N \subset P \subset M$ be an intermediate subfactor and set $B_{1,n} = A_{1,n} \cap P$. Then

$$\begin{array}{ccc}
 B_{1,0} & \subset & B_{1,1} \\
 \cup_{G_1} & & \cup_{L_1}
 \end{array}
 , \quad
 \begin{array}{ccc}
 A_{1,0} & \overset{K}{\subset} & A_{1,1} \\
 \cup_{G_2} & & \cup_{L_2}
 \end{array}$$

$$\begin{array}{ccc}
 A_{0,0} & \overset{H}{\subset} & A_{0,1} \\
 B_{1,0} & \subset & B_{1,1}
 \end{array}$$

are both non-degenerate commuting squares approximating $N \subset P$ and $P \subset M$ respectively.

⚠: G_1, G_2, L_1, L_2 are not necessarily connected.

Index $3 + \sqrt{5}$

- At index $3 + \sqrt{5}$ we also have the infinite depth subfactor $A_3 * A_4$, which has an intermediate subfactor with indices $\frac{3+\sqrt{5}}{2}$ and 2.
- If $G = S(3, 3, 3, 3)$, there exist no G_i such that $G = G_1 G_2$ and

$$\|G_1\|^2 = \frac{3 + \sqrt{5}}{2}, \quad \|G_2\|^2 = 2.$$

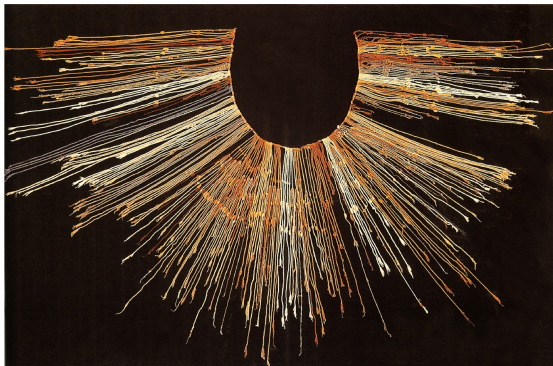
- The 1-parameter family of connections for $S(3, 3, 3, 3)$ must produce a hyperfinite A_∞ -subfactor with index $3 + \sqrt{5}$.

Conclusion

There are hyperfinite A_∞ -subfactors at each of these indices:

Index	constructed using. . .
$\frac{1}{2}(5 + \sqrt{13})$	GPA embedding
≈ 4.37720	GPA embedding
$\frac{1}{2}(5 + \sqrt{17})$	both
$3 + \sqrt{3}$	1-parameter family
$\frac{1}{2}(5 + \sqrt{21})$	1-parameter family
5	1-parameter family
$3 + \sqrt{5}$	1-parameter family

Thank you very much!



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